

PREFACE TO THE EDITION

It is a pleasure to present this issue of the **International Journal of Arts Science Research Studies (IJASHRS)**, which brings together a diverse collection of scholarly contributions exploring contemporary questions across the arts, sciences, culture, heritage, environment, and society. Reflecting the interdisciplinary spirit of the journal, the articles in this issue demonstrate how knowledge develops not only within individual disciplines but also through meaningful connections between different fields of inquiry.

The issue opens with an important examination of microplastic pollution in Indian coastal ecosystems, addressing its sources, distribution, ecological consequences, and wider human and socioeconomic implications. Drawing upon research published between 2015 and 2025, the study highlights the complex relationship between land-based plastic mismanagement, riverine inputs, fishing activity, atmospheric deposition, and the health of marine ecosystems. Its discussion of biodiversity, fisheries, food security, and integrated coastal management underscores the need for sustained scientific investigation and effective environmental policy.

The issue then turns from the marine environment to the frontiers of modern physics and astronomy through a study of gravitational wave detection and Indian contributions to the LIGO-India initiative. The article traces India's engagement with gravitational-wave science through theoretical research, data analysis, instrumentation, engineering, and international scientific collaboration. By examining LIGO-India not merely as an infrastructure project but also as an opportunity for strengthening astrophysics, scientific education, and technological capabilities, the study highlights India's growing role in the global scientific enterprise.

The relationship between creative participation and human wellbeing is explored in the article on participatory arts and wellbeing. Rather than treating the positive claims surrounding the arts uncritically, the study carefully distinguishes between receptive and participatory engagement and evaluates the available observational and experimental evidence. Its consideration of psychological, social, behavioural, and physiological mechanisms, together with questions of social prescribing, equity, dosage, and the intrinsic value of the arts, offers a balanced perspective on a field of increasing importance to public policy and social wellbeing.

The intersection of science, technology, and cultural heritage is further explored through analytical science in art conservation. The article examines a range of non-invasive imaging and analytical techniques used to investigate paintings, including reflectance imaging spectroscopy, infrared reflectography, optical coherence tomography, X-radiography, scanning macro X-ray fluorescence, and X-ray powder diffraction tomography. Beyond describing technological capabilities, the study raises significant questions about interpretation, attribution, conservation ethics, and the limits of scientific evidence. It emphasizes that analytical technologies can strengthen material understanding without entirely replacing the interpretive dimensions of art scholarship and connoisseurship.

The final contribution examines oral history, memory, and the archive in postcolonial societies, bringing questions of testimony, collective memory, archival power, and community knowledge to the forefront. The article traces the transformation of oral history from a means of recovering undocumented testimony into a sophisticated field concerned with the construction and interpretation of memory. Its discussion of postcolonial and Partition histories, community archives, interview ethics, and the challenges posed by digital infrastructures highlights the importance of understanding not only what archives preserve, but also what they leave unheard or unseen.

Taken together, the contributions in this issue demonstrate the breadth of inquiry represented by IJASHRS. From the health of India's coastal ecosystems and the detection of gravitational waves to the therapeutic possibilities of artistic participation, the scientific examination of cultural objects, and the preservation of marginalized memories, these studies engage with questions that extend beyond conventional disciplinary boundaries. A common thread running through them is the pursuit of evidence-based understanding while remaining attentive to interpretation, ethics, context, and human consequences.

This issue therefore reflects the journal's commitment to encouraging dialogue between arts, sciences, humanities, and related fields of research. We hope that these contributions will stimulate further scholarly discussion, inspire interdisciplinary research, and encourage readers to consider how different forms of knowledge can complement one another in addressing the complex challenges of the contemporary world.

We extend our sincere appreciation to the authors, reviewers, editorial team, and all those who have contributed to bringing this issue of the *International Journal of Arts Science Research Studies* to publication. We trust that readers will find in these pages valuable perspectives and opportunities for further inquiry.

Rahul Thampi R
Chief editor

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Microplastics in Indian Coastal Ecosystems: Sources, Distribution, and Ecological Impact

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Abstract

Microplastic pollution has emerged as a significant environmental concern of the twenty-first century, with growing evidence of widespread contamination across marine and coastal ecosystems globally. India, with its 7,500 kilometre coastline, dense coastal populations, intensive fishing activity, and rapidly growing plastic consumption, represents a particularly important context for understanding microplastic dynamics. This article examines microplastics in Indian coastal ecosystems, focusing on sources, distribution, and ecological impact. Drawing on a critical literature review methodology, the study analyses peer-reviewed scholarship and government scientific reports published between 2015 and 2025. The analysis identifies four major dimensions of microplastic pollution in Indian coastal contexts: the diverse sources contributing to microplastic loading, including land-based plastic mismanagement, river inputs, fishing-related debris, and atmospheric deposition; the spatial and temporal distribution patterns across beaches, sediments, surface waters, and biota; the ecological impact on marine organisms, food webs, and ecosystem functioning; and the human health and socioeconomic implications. The study draws on marine pollution science, environmental toxicology, and emerging microplastic-specific research. Findings indicate that microplastic contamination is widespread across Indian coastal regions, with significant variation linked to urban density, river inputs, and fishing intensity. Effects on marine biota, including ingestion by fish, invertebrates, and plankton, have been documented across multiple regions, with implications for fisheries, biodiversity, and food security. The article concludes with implications for environmental policy, scientific research priorities, and integrated coastal zone management in India.

Keywords: Microplastics, Marine Pollution, Indian Coast, Environmental Science, Ecological Impact, Coastal Ecosystems, Plastic Pollution, Fisheries

INTRODUCTION

Microplastic pollution, defined as the contamination of environmental media by plastic particles smaller than 5 millimetres, has rapidly emerged as one of the defining environmental issues of the contemporary period.¹ Since the early observations of small plastic fragments in marine environments by R. C. Thompson and colleagues in the mid-2000s,² scientific investigation has documented microplastic presence across virtually every studied marine, freshwater, and terrestrial ecosystem.³ The Indian Ocean, the third-largest of the world's oceans, receives substantial plastic loading from coastal South Asia, East Africa, the Middle East, and Southeast Asia.⁴ India's 7,500 kilometre coastline, spanning the Arabian Sea, the Lakshadweep Sea, the Bay of Bengal, and the Andaman Sea, provides

multiple distinct ecological contexts in which microplastic contamination is now extensively documented.⁵

Several factors make India a particularly important site for microplastic research. Coastal population density along major estuarine and deltaic zones such as the Mumbai region, the Hooghly mouth at Kolkata, the Chennai-Pulicat coast, the Krishna-Godavari delta, the Kochi backwaters, and the Mangalore-Karwar coast produces high anthropogenic pressure on marine environments. The Ganga, Brahmaputra, Mahanadi, Krishna, Godavari, Kaveri, and other major rivers transport substantial sediment, nutrient, and pollutant loads to the sea.⁶ Indian fisheries, both artisanal and industrial, operate across the entire coastline and contribute to the introduction of fishing-related plastics. Per capita plastic consumption in India, while still relatively modest by global standards, has grown rapidly, and the management of post-consumer plastic waste remains a significant infrastructural challenge.⁷

Despite the importance of the Indian context, scientific knowledge of microplastic contamination in Indian coastal ecosystems has only recently begun to develop systematically. Research conducted by national institutions including the National Institute of Oceanography, the Central Marine Fisheries Research Institute, the National Centre for Coastal Research,⁸ and several university-based research groups has produced a growing body of empirical findings.⁹ Yet integrative analysis that synthesizes findings across coastal regions, sample types, and methodological approaches remains comparatively limited, and the implications for environmental policy, fisheries management, and coastal community well-being merit sustained scholarly engagement.

Against this backdrop, the present article asks: what is the current state of knowledge regarding microplastic contamination in Indian coastal ecosystems, and what are the implications for environmental policy and future research? Three subsidiary questions structure the inquiry:

- First, what are the major sources contributing to microplastic loading in Indian coastal waters and sediments?
- Second, what spatial and temporal distribution patterns characterize microplastic contamination across the Indian coast?
- Third, what ecological and human health impacts have been documented, and what implications follow for policy and research?

The article makes three contributions: it synthesizes the rapidly expanding scientific literature on Indian coastal microplastics; it identifies four interlocking dimensions of the issue; and it articulates implications for environmental policy and integrated coastal zone management.

LITERATURE REVIEW

Microplastics: Definitional and Methodological Foundations

The scientific study of microplastic pollution has expanded rapidly since the early-2000s identification of small plastic fragments as a distinct environmental concern.¹⁰ The most widely used operational definition follows the United Nations Joint Group of Experts on the Scientific Aspects of Marine Environmental Protection in classifying plastic particles smaller than 5 millimetres as microplastics,¹¹ with further subdivisions distinguishing primary microplastics, manufactured at small sizes for specific industrial or consumer purposes, from secondary microplastics, formed through the fragmentation of larger plastic items.¹² Methodological approaches for identifying and quantifying microplastics include density separation, filtration, microscopic identification, Fourier-transform infrared spectroscopy, Raman spectroscopy, and pyrolysis gas chromatography mass spectrometry, with significant variation in protocols across studies that complicates direct comparison.¹³

Sources of Marine Microplastic Contamination

Sources of marine microplastic contamination have been systematically classified in the international literature.¹⁴ Land-based sources include urban runoff, inadequately managed solid waste, wastewater treatment plant discharges, and tyre wear particles transported through atmospheric and aquatic pathways.¹⁵ Riverine inputs convey terrestrial microplastic loads to coastal waters, with major rivers identified as significant transporters.¹⁶ Fishing and aquaculture activities introduce microplastics through the fragmentation of nets, ropes, floats, and other gear. Shipping and offshore industrial activities contribute additional inputs. Atmospheric deposition has been increasingly recognized as a

meaningful pathway, particularly for fibres and small fragments.¹⁷ The relative contribution of these sources varies substantially across regions and over time.

Indian Coastal Microplastic Studies

A growing body of Indian-specific research has documented microplastic contamination across multiple coastal regions.¹⁸ Studies have examined beaches and sediments along the Mumbai-Goa-Mangalore coast, the Kerala backwaters and Cochin estuary,¹⁹ the Tamil Nadu coast including Chennai and the Gulf of Mannar,²⁰ the Andhra Pradesh coast, the Odisha coast including the Chilika Lagoon, the West Bengal coast and the Sundarbans,²¹ and the Andaman and Nicobar Islands. Findings consistently document the widespread presence of microplastic fragments and fibres, with concentrations varying by location, season, and methodology. Several studies have documented microplastic ingestion by commercially important fish species, shellfish, and zooplankton in Indian coastal waters.²²

Ecological Impact Frameworks

The ecological impact of microplastic contamination operates through multiple pathways that have been theorized in the international literature.²³ Direct physical effects include ingestion by marine organisms with consequences for digestion, growth, and reproduction.²⁴ Chemical effects include the leaching of plastic-associated additives such as phthalates and bisphenol A, and the adsorption and transport of hydrophobic contaminants, including polycyclic aromatic hydrocarbons, polychlorinated biphenyls, and pesticides. Biological effects include the colonization of microplastic surfaces by microbial communities, sometimes including pathogenic species, and the role of microplastics in transporting non-native organisms. Trophic transfer through food webs has been documented across taxa from plankton to fish to seabirds and marine mammals.²⁵

Research Gap

Despite the rapidly growing literature, several gaps remain. Methodological heterogeneity across Indian studies limits direct comparison of concentrations and trends. Long-term monitoring studies that document temporal change are still comparatively rare. Coverage across the Indian coast remains uneven, with some regions extensively studied and others less well characterized. The integration of ecological, fisheries, and human health implications within a single analytical framework is uncommon, and policy-relevant synthesis remains in early stages. The present article seeks to contribute by integrating findings across these dimensions.

METHODS

This study employs a critical literature review methodology with thematic synthesis, suitable for integrating a rapidly developing scientific literature across marine pollution science, environmental toxicology, and Indian coastal research. The review proceeded through four stages. In the first stage, a structured search was conducted in PubMed, Web of Science, Scopus, ScienceDirect, the Indian Citation Index, and Google Scholar. Search terms combined microplastic, plastic pollution, India, Indian coast, Bay of Bengal, Arabian Sea, beach sediment, marine, fish, ingestion, and specific regional terms. The window covered January 2015 to August 2025, capturing the period of rapid expansion in Indian microplastic research.

In the second stage, inclusion criteria specified peer-reviewed empirical studies of microplastic contamination in Indian coastal or marine environments, supplemented by foundational theoretical and methodological works in the international microplastic literature. Reports from Indian government scientific agencies including the National Institute of Oceanography, the Central Marine Fisheries Research Institute, the National Centre for Coastal Research, the Central Pollution Control Board, and the Ministry of Earth Sciences were also reviewed. Exclusion criteria filtered out studies focused exclusively on macroplastic debris without microplastic analysis, freshwater-only studies in interior regions, and non-peer-reviewed materials except for authoritative government scientific reports. After title, abstract, and full-text screening, eighty publications were retained.

In the third stage, supplementary materials were drawn from publicly available regulatory and policy documents including the Plastic Waste Management Rules, 2016 and subsequent amendments;

the Coastal Regulation Zone notifications; the Ministry of Environment, Forest and Climate Change reports; and international policy frameworks including the United Nations Environment Programme reports on plastic pollution. In the fourth stage, thematic synthesis generated four interlocking dimensions of microplastic pollution in Indian coastal contexts that structure the findings reported below. As a literature-based study using publicly available secondary materials, the research did not require formal ethics approval.

RESULTS

Sources of Microplastic Loading in Indian Coastal Waters

The first dimension concerns the diverse sources contributing to microplastic loading in Indian coastal waters. Land-based sources are dominant, with urban runoff, inadequately managed municipal solid waste, and wastewater treatment plant discharges contributing significant fluxes.²⁶ Beach studies along urban coastlines including Mumbai, Chennai, Visakhapatnam, and Chennai consistently document higher microplastic concentrations near densely populated and industrialized zones, supporting the importance of proximate land-based sources.²⁷ Riverine inputs are substantial, with the Ganga, Brahmaputra, Krishna, Godavari, and other major rivers transporting microplastic loads to coastal waters.²⁸ Studies focusing on river-mouth and estuarine environments have documented elevated microplastic concentrations relative to open coast samples.²⁹

Fishing-related sources are particularly important along the Indian coast given the scale and reach of artisanal and commercial fisheries. Fragmentation of fishing nets, ropes, traps, and floats, alongside discarded gear, contributes to microplastic loading. Fibres derived from fishing-related materials feature prominently in microplastic samples from many Indian coastal sites. Aquaculture activities, particularly in coastal Andhra Pradesh, Tamil Nadu, Kerala, and Gujarat, contribute additional loading. Atmospheric deposition is being increasingly recognized as a meaningful pathway in Indian studies, although systematic characterization remains in earlier stages compared to land and riverine inputs.

Spatial and Temporal Distribution Patterns

The second dimension concerns spatial and temporal distribution patterns. Indian studies consistently document significant spatial variation in microplastic concentrations linked to coastal land use, river inputs, fishing intensity, and oceanographic processes.³⁰ Higher concentrations are typically observed near urban centres, river mouths, and intensely fished zones, while more remote stretches of coastline show lower concentrations.³¹ The Andaman and Nicobar Islands, despite their relative geographic isolation, show measurable microplastic contamination, indicating long-range transport from the Bay of Bengal and the broader Indian Ocean.³²

Temporal variation includes seasonal differences linked to monsoon dynamics, with elevated riverine inputs during and immediately after the southwest monsoon producing higher microplastic loads in many estuarine and near-shore environments. Tidal and storm-related dynamics also influence the redistribution of microplastics in beach sediments. Long-term temporal trends are more difficult to establish given the relatively short history of systematic Indian microplastic research, but available evidence suggests that contamination has increased in tandem with growing plastic consumption and incomplete waste management. Temporal monitoring at standardized sampling sites is identified by multiple investigators as a research priority.

Ecological Impact on Marine Biota and Ecosystem Functioning

The third dimension concerns the ecological impact of microplastic contamination on marine biota and ecosystem functioning. Microplastic ingestion has been documented in numerous Indian coastal species across multiple trophic levels.³³ Studies have documented ingestion by commercially important fish species including mullets, sardines, mackerel, and groupers; by shellfish including mussels, clams, and shrimp; by sea cucumbers and other benthic invertebrates; by zooplankton; and by seabirds.³⁴ Ingested microplastics may cause physical effects including digestive blockage and reduced feeding efficiency, alongside chemical effects from associated contaminants and additives.³⁵

Ecosystem-level effects include the role of microplastics in altering benthic community composition through changes to sediment properties, the colonization of microplastic surfaces by microbial communities including potentially pathogenic taxa, and possible effects on biogeochemical

cycling. Mangroves, which line significant portions of the Indian coast and serve as important nursery habitats for fisheries, are increasingly recognized as accumulating microplastics through tidal and riverine inputs.³⁶ Coral reef systems, particularly in the Lakshadweep and Andaman archipelagos, face additional stressors from microplastic contamination overlaid on existing climate-related pressures.³⁷ The overall picture is one of widespread biological exposure to microplastic contamination, with consequences for biodiversity, fisheries, and ecosystem services that warrant continued investigation.

Human Health and Socioeconomic Implications

The fourth dimension concerns human health and socioeconomic implications. Microplastic exposure to coastal communities and broader populations occurs through several pathways, including consumption of contaminated seafood, inhalation of airborne microplastic particles, and dermal exposure during occupational or recreational activities. Studies of commercially important fish and shellfish species harvested from Indian waters have documented microplastic presence, raising concerns about dietary exposure for consumers, particularly in coastal communities where seafood constitutes a significant share of the diet. Beach tourism, an important component of coastal livelihoods in Goa, Kerala, Tamil Nadu, and elsewhere, is affected by visible plastic pollution that may also include microplastic components.

Health effects of microplastic exposure remain incompletely characterized in the international toxicological literature, with active research investigating possible inflammatory, endocrine, and other effects.³⁸ The translation of laboratory findings to population-level health implications requires careful epidemiological work, and Indian-specific human health research on microplastics is at an early stage.³⁹ The socioeconomic implications include effects on artisanal fisheries through impacts on target species and gear, on coastal tourism through aesthetic and ecological degradation, and on the livelihoods of coastal communities more broadly. These implications interact with broader pressures on Indian coastal communities including climate change, urbanization, and shifting livelihood patterns.

DISCUSSION

The findings carry several important implications. Scientifically, they support a research agenda that combines source characterization, spatial and temporal monitoring, ecological impact assessment, and human health investigation within a coordinated framework. The four dimensions identified, sources, distribution, ecological impact, and human and socioeconomic implications, are mutually interdependent rather than separable. Source reduction directly affects distribution; distribution patterns shape ecological exposure; and ecological impacts feed back into human health and socioeconomic outcomes through fisheries and ecosystem services.

For environmental policy, the findings emphasize the importance of integrated approaches across plastic waste management, coastal regulation, fisheries management, and water quality monitoring. The Plastic Waste Management Rules, 2016 and subsequent amendments,⁴⁰ the National Marine Litter Policy framework,⁴¹ and Coastal Regulation Zone notifications all bear on microplastic dynamics, but their integration with explicit microplastic objectives remains uneven. Extended producer responsibility provisions, designed to shift waste management costs to plastic producers, are being progressively implemented and should be evaluated for their effectiveness in reducing microplastic generation.⁴² Bans on single-use plastics, implemented at varying levels in different states, can contribute to source reduction if effectively enforced.⁴³

For scientific research, the findings suggest several priorities. Methodological harmonization, including the adoption of standardized sampling, processing, identification, and reporting protocols across Indian research groups, would enable direct comparison of findings and the construction of robust national assessments.⁴⁴ Long-term monitoring at standardized sentinel sites would enable the detection of temporal trends and the evaluation of intervention effectiveness. Multi-region comparative studies would clarify the influence of local versus regional drivers. Investigations of less-studied compartments, including atmospheric microplastics and deep-sea sediments, would address current gaps.⁴⁵ Toxicological research using Indian species and ecologically relevant exposure scenarios would strengthen the evidence base for ecological risk assessment.⁴⁶

For integrated coastal zone management, the findings underscore the importance of microplastic considerations within broader management frameworks. Coastal community engagement, particularly

with fisher communities and coastal tourism stakeholders, is essential for both source reduction and the implementation of monitoring and remediation efforts. Capacity building for state-level pollution control boards, coastal universities, and field research institutions would strengthen monitoring capacity. International cooperation, particularly within the South Asia Cooperative Environment Programme and the Indian Ocean Rim Association frameworks, can support shared monitoring and policy development.

Several limitations of the present analysis warrant acknowledgment. As a literature-based study, it depends on the quality and coverage of available scientific publications, which remain uneven across Indian coastal regions and across compartments such as water, sediment, biota, and atmosphere. Methodological heterogeneity across studies complicates direct comparison and the construction of national-level estimates. The pace of scientific advance means that some findings will be updated as new evidence becomes available.

CONCLUSION

This article has examined microplastic contamination in Indian coastal ecosystems, focusing on sources, distribution, and ecological impact. Through a critical synthesis of the rapidly expanding scientific literature, four interlocking dimensions were identified: the diverse sources contributing to microplastic loading; the spatial and temporal distribution patterns across Indian coastal regions; the ecological impact on marine biota and ecosystem functioning; and the human health and socioeconomic implications. Together these dimensions describe a widespread environmental concern with significant implications for biodiversity, fisheries, public health, and coastal livelihoods.

Three broader conclusions follow. First, microplastic contamination is widely documented across Indian coastal regions, with significant spatial variation linked to land use, river inputs, fishing activity, and oceanographic processes. Second, ecological exposure of marine biota is well established, with implications for biodiversity, fisheries productivity, and food web dynamics that warrant continued investigation. Third, integrated responses are required across plastic waste management, coastal regulation, fisheries management, and scientific monitoring to address the issue effectively.

Several directions for future research are warranted. Methodologically harmonized national-scale assessments would enable robust characterization of contamination across the Indian coast. Long-term monitoring at sentinel sites would document temporal change and intervention effectiveness. Toxicological research using Indian species and ecologically relevant exposure scenarios would advance ecological risk assessment. Studies of human health implications, including dietary exposure modelling and population-level health investigations, would inform public health policy. Research on the socioeconomic implications for coastal communities, particularly artisanal fisher and tourism-dependent populations, would support equity-oriented policy. By advancing such an agenda, environmental science can contribute meaningfully to addressing one of the most consequential environmental challenges facing India's coastal regions.

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Gravitational Wave Detection: Indian Contributions and the LIGO-India Initiative

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Abstract

The first direct detection of gravitational waves by the Laser Interferometer Gravitational-Wave Observatory (LIGO) in September 2015 inaugurated a new era of multi-messenger astronomy. The subsequent decade has witnessed rapid expansion of the field, with hundreds of detections of binary black hole mergers, binary neutron star coalescences, and other compact object events documented by LIGO, Virgo, and KAGRA. Indian scientists and institutions have contributed substantially to this scientific revolution, both through participation in LIGO Scientific Collaboration analyses and through the development of theoretical, computational, and instrumentation expertise within India. The LIGO-India initiative, formally approved by the Government of India in 2016 with Cabinet approval and reaffirmed with construction approval in 2023, represents a major bilateral scientific project that will host the third-generation Advanced LIGO-class detector at a site in Hingoli district, Maharashtra. This article examines Indian contributions to gravitational wave detection and the broader trajectory of the LIGO-India initiative through an astrophysics and science policy perspective. Drawing on a critical literature review methodology, the study analyses peer-reviewed scholarship and policy documents published between 2015 and 2025. The analysis identifies four dimensions of Indian engagement: theoretical and data analysis contributions; instrumentation and engineering capabilities; the LIGO-India infrastructure project; and the implications for Indian astrophysics, education, and international scientific cooperation. Findings indicate that India is positioned as a significant contributor to the global gravitational wave science enterprise. The article concludes with implications for astrophysics research, instrumentation policy, and Indian science education.

Keywords: Gravitational Waves, LIGO-India, Astrophysics, Indian Science, Multi-Messenger Astronomy, Advanced LIGO, Compact Binary Mergers, Scientific Cooperation.

INTRODUCTION

On 14 September 2015, the Advanced LIGO detectors at Hanford, Washington and Livingston, Louisiana recorded a brief but unmistakable signal from the merger of two stellar-mass black holes approximately 1.3 billion light years from Earth.¹ The announcement of this first direct detection of gravitational waves, designated GW150914 and reported in February 2016, marked the realization of a prediction that Albert Einstein had made in 1916 within the framework of general relativity.² The subsequent decade has witnessed the rapid maturation of gravitational wave astronomy. Hundreds of compact binary coalescence events have been detected by the LIGO-Virgo-KAGRA network, including binary black hole mergers, binary neutron star coalescences, and neutron star black hole mergers.³ The

2017 detection of GW170817, a binary neutron star coalescence accompanied by electromagnetic counterparts across the electromagnetic spectrum, inaugurated multi-messenger astronomy in its full sense.⁴ The 2017 Nobel Prize in Physics was awarded to Rainer Weiss, Barry Barish, and Kip Thorne for decisive contributions to the LIGO detector and the observation of gravitational waves.⁵

Indian astrophysicists have been substantively engaged with gravitational wave science for decades, both through theoretical work and through participation in international observational efforts.⁶ Indian institutions including the Inter-University Centre for Astronomy and Astrophysics (IUCAA), the Tata Institute of Fundamental Research (TIFR), the Raja Ramanna Centre for Advanced Technology (RRCAT), the Institute of Plasma Research, the Indian Institute of Technology system, and several universities have contributed to data analysis, theoretical modelling of gravitational wave sources, and instrumentation development. The LIGO Scientific Collaboration includes Indian members from multiple institutions, and the IndIGO consortium serves as the formal organizational vehicle for Indian gravitational wave science.⁷

The LIGO-India initiative represents a major Indian commitment to gravitational wave astronomy. The project, originally proposed in collaboration with the LIGO Laboratory at the California Institute of Technology and the Massachusetts Institute of Technology, will establish a third Advanced LIGO-class detector in India, complementing the existing Hanford and Livingston instruments.⁸ The Government of India approved the project in principle in 2016, and final construction approval was granted in 2023, with siting at Hingoli district in Maharashtra.⁹ When operational, LIGO-India will substantially enhance the global gravitational wave detection network's sky localization capability, contributing to the identification of electromagnetic counterparts and supporting the broader multi-messenger astronomy programme.

Against this backdrop, the present article asks: what have been the major dimensions of Indian engagement with gravitational wave detection, and what are the implications of the LIGO-India initiative for Indian astrophysics and international scientific cooperation? Three subsidiary questions structure the inquiry:

- First, what theoretical, computational, and data analysis contributions have Indian scientists made to gravitational wave science?
- Second, what is the scientific and engineering scope of the LIGO-India project?
- Third, what are the broader implications for Indian astrophysics, education, instrumentation policy, and international scientific cooperation?

The article makes three contributions: it synthesizes scholarship and policy documentation on Indian gravitational wave engagement; it identifies four dimensions of Indian contribution; and it articulates implications for research and policy.

LITERATURE REVIEW

Theoretical Foundations of Gravitational Wave Astronomy

The theoretical foundations of gravitational wave astronomy rest on Einstein's 1916 prediction, within general relativity, that accelerating massive bodies produce ripples in the fabric of spacetime that propagate at the speed of light.¹⁰ The development of post-Newtonian and post-Minkowskian approximation schemes, together with numerical relativity simulations of compact binary mergers, has produced detailed waveform predictions that enable matched-filter detection of weak signals embedded in detector noise.¹¹ Foundational theoretical contributions span seven decades, with notable Indian contributions including the work of Bala Iyer, Sanjeev Dhurandhar, and others on post-Newtonian waveform models, data analysis techniques, and the theoretical framework for binary inspiral signals.¹²

Detector Development and the Advanced LIGO Era

The development of LIGO into the Advanced LIGO detectors operational from 2015 onwards represents one of the most ambitious experimental physics enterprises of recent decades.¹³ The detectors employ kilometre-scale Michelson interferometers with Fabry-Perot arm cavities, supported by sophisticated seismic isolation, vacuum, optics, and quantum noise reduction technologies. The achieved strain sensitivity is sufficient to detect spacetime perturbations on the order of one part in 10 to the 21st in the relevant frequency band. The Virgo detector in Italy and the KAGRA detector in Japan

complement the LIGO instruments, with continued upgrades planned through future observation runs.¹⁴ The technical complexity of these detectors has produced extensive contributions to instrumentation science, control engineering, and precision measurement that extend beyond gravitational wave astronomy itself.

Multi-Messenger Astronomy and the GW170817 Era

The detection of GW170817 on 17 August 2017, accompanied by the gamma-ray burst GRB 170817A and subsequent electromagnetic emission across radio, infrared, optical, ultraviolet, X-ray, and gamma-ray wavelengths, inaugurated multi-messenger astronomy in its mature form.¹⁵ The event, identified as a binary neutron star coalescence, produced a kilonova whose ejecta supported the production of heavy elements through r-process nucleosynthesis.¹⁶ The combined gravitational wave and electromagnetic observations provided constraints on the speed of gravitational waves relative to light, the equation of state of dense nuclear matter, and the cosmological Hubble constant through standard siren methodology.¹⁷ The Indian Astrosat mission contributed observations of GRB 170817A through its CZTI X-ray detector.¹⁸ The era inaugurated by GW170817 has reshaped expectations for what gravitational wave astronomy can deliver and underscored the value of expanding the global detector network.

Indian Astrophysics and Science Policy Context

Indian astrophysics has developed substantial capability across multiple wavelengths and methodologies.¹⁹ Indian institutions have contributed to ground-based optical observatories, including the recent Aditya-L1 solar mission and the long-running Indian Astronomical Observatory, to space-based instruments including Chandrayaan and Astrosat,²⁰ to theoretical and numerical astrophysics, and to participation in international collaborations including the Square Kilometre Array, the Thirty Meter Telescope, and various other projects. The Indian science policy framework provided by the Department of Atomic Energy, the Department of Science and Technology, the Department of Space, and the Indian Space Research Organisation supports astrophysical research through both institutional grants and dedicated mission programmes. The LIGO-India initiative is a prominent recent addition to this landscape, representing one of the largest Indian investments in basic science infrastructure.²¹

Research Gap

Despite the rapid expansion of gravitational wave astronomy and the substantial Indian engagement, integrative analyses synthesizing Indian contributions across theoretical, computational, instrumentation, and policy dimensions remain comparatively rare. Most existing literature focuses on technical results from specific detection events, on detector technology, or on policy commentary, with limited integration of these strands. The implications of LIGO-India for the broader Indian astrophysics ecosystem and for international scientific cooperation merit sustained scholarly engagement. The present article seeks to contribute to addressing these gaps.

METHODS

This study employs a critical literature review methodology with thematic synthesis, suitable for engaging an interdisciplinary scientific topic spanning theoretical physics, instrumentation, astronomy, and science policy. The review proceeded through four stages. In the first stage, a structured search was conducted in the SAO/NASA Astrophysics Data System, INSPIRE-HEP, arXiv, Web of Science, Scopus, the Indian Citation Index, and Google Scholar. Search terms combined gravitational waves, LIGO, LIGO-India, IndIGO, advanced LIGO, multi-messenger astronomy, neutron star, black hole merger, and Indian astrophysics. The window covered January 2015 to August 2025, with selected earlier theoretical and instrumentation works retained for grounding.

In the second stage, inclusion criteria specified peer-reviewed scientific papers and authoritative review articles in gravitational wave astronomy and instrumentation, supplemented by science policy documentation relevant to the LIGO-India project and Indian astrophysics. Reports from the Department of Atomic Energy, the Department of Science and Technology, IUCAA, TIFR, RRCAT, the LIGO Scientific Collaboration, and the LIGO-India project documentation were also reviewed. Exclusion criteria filtered out non-peer-reviewed materials except for authoritative project

documentation, and works without direct relevance to Indian engagement or LIGO-India. After title, abstract, and full-text screening, sixty-two publications were retained.

In the third stage, supplementary materials were drawn from publicly available project documentation, including the LIGO-India project website, technical design reports, and Government of India press releases concerning Cabinet approvals. In the fourth stage, thematic synthesis generated four interlocking dimensions of Indian engagement with gravitational wave detection. As a literature-based study using publicly available secondary materials, the research did not require formal ethics approval. Standards of accurate citation, transparent reasoning, and balanced engagement with technical and policy literatures were maintained throughout.

RESULTS

Indian Theoretical and Data Analysis Contributions

The first dimension concerns theoretical and data analysis contributions by Indian scientists to gravitational wave astronomy. Indian theoretical contributions span post-Newtonian and post-Minkowskian waveform modelling, the development of effective-one-body and phenomenological waveform models, statistical methodology for source parameter estimation, the modelling of binary spin precession, and the theoretical analysis of tests of general relativity using gravitational wave data. Foundational Indian contributors including Bala Iyer at the Raman Research Institute, Sanjeev Dhurandhar at IUCAA, and successive generations of theoretical and data analysis researchers have produced influential work that is cited extensively in international gravitational wave literature.²²

Indian data analysis groups participate in LIGO Scientific Collaboration analyses through the Indian Initiative in Gravitational-wave Observations (IndIGO) framework.²³ Indian researchers contribute to the analysis pipelines that detect compact binary coalescence signals, characterize their parameters, and test general relativity using gravitational wave observations.²⁴ Cosmological parameter estimation through standard siren methodology, tests of the no-hair theorem, and constraints on alternative theories of gravity are among the analyses to which Indian researchers contribute.²⁵ Indian computational infrastructure, including national-scale computing resources, supports both theoretical waveform development and observational data analysis.

Indian Instrumentation and Engineering Capabilities

The second dimension concerns Indian instrumentation and engineering capabilities relevant to gravitational wave detection. The LIGO-India project requires the construction and integration of an Advanced LIGO-class detector.²⁶ The instrumentation involved includes ultra-high-vacuum systems with kilometre-scale tubes, seismic isolation systems, ultra-stable laser sources, suspended optics with extremely demanding optical and mechanical specifications, control electronics, and computational infrastructure. Indian institutions have developed capabilities in several of these areas, drawing on prior experience in synchrotron radiation facilities, accelerator technology, fusion plasma research, and precision optical instrumentation.²⁷

The Raja Ramanna Centre for Advanced Technology in Indore, the Institute of Plasma Research in Gandhinagar, and several Indian Institutes of Technology have been involved in the engineering preparation for LIGO-India. International collaboration with the LIGO Laboratory provides essential transfer of design knowledge and supports the training of Indian scientists and engineers in advanced detector technology. The infrastructure and human capital being developed for LIGO-India will provide spillover benefits for other Indian high-precision experimental physics, instrumentation industries, and engineering education.

The LIGO-India Infrastructure Project

The third dimension concerns the LIGO-India infrastructure project itself. The project is a collaboration between the Department of Atomic Energy and the Department of Science and Technology of the Government of India, with the LIGO Laboratory in the United States. The project will host an Advanced LIGO-class detector at a site in Hingoli district, Maharashtra, comprising kilometre-scale ultra-high-vacuum arm tubes, central station optical and laser facilities, and supporting infrastructure. The instrument hardware is largely supplied through the LIGO Laboratory partnership,

while the site preparation, vacuum system construction, civil engineering, and operational infrastructure are led by Indian institutions.

The Cabinet of the Government of India granted in-principle approval to LIGO-India in 2016 and final construction approval in February 2023, formalizing the financial and institutional commitment necessary for full project execution.²⁸ Site preparation, civil construction, and instrumentation integration are proceeding through the project timeline, with operational commissioning anticipated in the late 2020s or early 2030s pending technical and project schedule developments. The host institutions include IUCAA, TIFR, RRCAT, and the Institute for Plasma Research, with broader Indian institutional involvement through the IndIGO consortium.²⁹

Implications for Indian Astrophysics, Education, and Cooperation

The fourth dimension concerns the broader implications of Indian gravitational wave engagement for Indian astrophysics, education, and international scientific cooperation. LIGO-India is positioned as a transformative project for the Indian basic science ecosystem, with implications across several axes. For Indian astrophysics, the project anchors a long-term commitment to gravitational wave science with associated theoretical, observational, and instrumentation capabilities. The combination of LIGO-India with Indian space-based astronomy missions including Astrosat and the planned XPoSat and other instruments creates a robust multi-wavelength capability complementing the gravitational wave channel.

For education, the project supports the development of advanced training programmes in gravitational wave physics, precision instrumentation, and computational astrophysics, with implications for graduate and post-graduate education across Indian institutions. The project provides career pathways for Indian-trained scientists in cutting-edge experimental and theoretical physics, supporting the broader retention of scientific talent within India. For international scientific cooperation, LIGO-India embeds India in a major multilateral basic science enterprise, with parallel commitments through the LIGO Scientific Collaboration, the Virgo Collaboration,³⁰ and the KAGRA Collaboration.³¹ Future generations of detector technology, including the proposed Cosmic Explorer³² and Einstein Telescope,³³ may build on the institutional and human capital developed through LIGO-India.

DISCUSSION

The findings carry several important implications. Scientifically, they support a perspective on Indian gravitational wave engagement that integrates theoretical, computational, instrumentation, and policy dimensions rather than treating them as separate strands. The four dimensions identified, theoretical and data analysis contributions, instrumentation and engineering capabilities, the LIGO-India infrastructure project, and broader implications, are mutually reinforcing. Theoretical and data analysis expertise enables productive contribution to international collaborations. Instrumentation capabilities support both LIGO-India construction and broader experimental physics. The LIGO-India project anchors long-term commitment and creates a platform for sustained engagement.

For astrophysics research, the findings suggest several priorities. Continued investment in theoretical waveform modelling, particularly for sources at the edges of current detector sensitivity, will support upcoming observation runs. Investment in computational infrastructure for gravitational wave data analysis, including high-performance computing resources and machine learning capabilities for signal identification, will support participation in increasingly large collaborative analyses. Multi-messenger follow-up capabilities, including coordination with Indian space-based and ground-based electromagnetic facilities, will enhance Indian engagement with the most scientifically productive multi-messenger events. Theoretical work on tests of general relativity, on dense matter physics through neutron star observations, and on cosmology through standard siren methodology will all benefit from continued Indian engagement.

For instrumentation policy, the findings emphasize the value of LIGO-India as a platform for the development of Indian advanced instrumentation capability. Spillovers to Indian instrumentation industries, including precision optics, vacuum technology, control electronics, and laser technology, support the broader Indian science and industrial ecosystem. The training of engineers and scientists through LIGO-India construction and operation contributes to deep technical capability that can be redeployed across multiple research and industrial applications. Continued investment in basic science

infrastructure, of which LIGO-India is a flagship example, supports the long-term competitive position of Indian science.

For science education, the findings underscore the importance of integrating gravitational wave physics into Indian undergraduate and graduate curricula. Sustained outreach engagement with student communities, including dedicated summer schools, training programmes, and visit opportunities at LIGO-India and partner facilities, will support the broader development of scientific culture. Public engagement with gravitational wave science, including media coverage, popular books, museum exhibits, and digital content, will support the visibility of basic science in Indian public life and the recruitment of new generations to scientific careers.

Several limitations of the present analysis warrant acknowledgment. As a literature-based study, the analysis depends on the quality and coverage of available scholarship and policy documentation, which is necessarily uneven across the rapidly evolving project landscape. Project status, technical specifications, and timeline details may change as construction proceeds. The voices of practitioners, students, and host community members, while reflected in some literature, are not fully represented through secondary sources alone.

CONCLUSION

This article has examined Indian contributions to gravitational wave detection and the broader trajectory of the LIGO-India initiative. Drawing on theoretical physics, observational astronomy, instrumentation science, and science policy literature, the analysis identified four interlocking dimensions: Indian theoretical and data analysis contributions; Indian instrumentation and engineering capabilities; the LIGO-India infrastructure project; and the broader implications for Indian astrophysics, education, and international scientific cooperation. Together these dimensions describe a substantive Indian engagement with one of the most exciting frontiers of contemporary basic science.

Three broader conclusions follow. First, India's engagement with gravitational wave science represents a multi-decade scientific commitment that now stands at a particularly important inflection point with the construction phase of LIGO-India. Second, the project carries implications well beyond gravitational wave science itself, anchoring developments in instrumentation, education, scientific culture, and international cooperation. Third, sustained investment, careful execution, and continued integration of theoretical, observational, and instrumentation strands will be essential to realizing the full potential of Indian gravitational wave science.

Several directions for future research are warranted. Theoretical and computational research on the next generation of gravitational wave sources, including intermediate-mass black holes, primordial black holes, and continuous wave sources from rotating neutron stars, deserves continued Indian engagement. Multi-messenger astronomy capability, building on the GW170817 paradigm, will benefit from coordinated investment in Indian electromagnetic facilities and follow-up infrastructure. Studies on the science policy and educational impacts of LIGO-India would inform institutional learning. Detailed historical and sociological studies of the LIGO-India project, including the long pathway from initial proposal to operational facility, would contribute to broader understanding of large basic science projects in India. Public engagement and science communication research focused on gravitational wave science and basic physics more generally would support the broader scientific culture. By advancing such an agenda, Indian astrophysics can continue its productive contribution to one of the most exciting scientific frontiers of our time.

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Participatory Arts and Wellbeing: Evidence, Mechanisms and Limits

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Abstract

The claim that participation in the arts improves health has moved from advocacy into policy, and it now supports referral schemes, commissioning decisions and funding streams in several health systems. This paper examines what the evidence actually establishes. It distinguishes receptive engagement, such as visiting a gallery or attending a concert, from participatory engagement in making, since the two have different evidence profiles and different plausible mechanisms. Cohort studies find consistent associations between arts engagement and outcomes including depression, loneliness and mortality, and the associations survive adjustment for the obvious confounders, though residual confounding by health and by socioeconomic position cannot be excluded from observational designs. Trial evidence is thinner, is concentrated in music and singing, and reports small to moderate effects on wellbeing measures with considerable heterogeneity. The paper then examines mechanism, drawing on frameworks that decompose arts activities into their component ingredients and trace their effects through psychological, social, behavioural and physiological pathways. The final sections address social prescribing, where the policy commitment has outrun the evidence, and the practical questions of dose, equity of access and the risk that instrumental justification displaces the intrinsic case for public support of the arts. The conclusion argues for a modest, specific and honest reading of a genuinely promising literature.

Keywords: Arts and Health, Wellbeing, Social Prescribing, Cultural Engagement, Loneliness, Evaluation

INTRODUCTION

Institutions that fund the arts have long argued that the arts do people good. What has changed in the past fifteen years is that the argument has acquired a quantitative literature and, following that, a place in health policy. Referral from primary care into community arts activity is now an established route in several health systems, and public health strategies increasingly name cultural participation among their instruments.

The evidence supporting this movement is real and it is uneven. A comprehensive scoping review commissioned by a regional health body examined more than three thousand studies and concluded that the arts have a role in the prevention of ill health, in the promotion of health and in the management and treatment of illness across the life course.¹ That conclusion is defensible on the volume of evidence assembled. It is also broad enough to accommodate a great range of underlying study quality, and the interesting question for anyone designing or commissioning a programme is narrower: which activities, for which people, produce which effects, and how large are they?

This paper works through that question. It separates receptive from participatory engagement, reviews the observational and trial evidence for each, examines the mechanisms proposed, and considers where the policy commitment has moved ahead of what the research supports.

TWO KINDS OF ENGAGEMENT

The literature covers two distinguishable behaviours that are often reported together. Receptive engagement means attending or consuming: visiting museums and galleries, going to the theatre, opera or concerts, reading. It is typically episodic, requires no skill acquisition, and is strongly patterned by education and income. Participatory engagement means making: singing in a choir, playing an instrument, dancing, painting, writing, taking part in drama. It involves repeated attendance, skill development and, usually, a group.

The distinction matters because the plausible mechanisms differ. Receptive engagement plausibly operates through aesthetic experience, cognitive stimulation and the social occasion of attending. Participatory engagement adds skill mastery, sustained group membership, role and identity, and in the case of singing and dancing a substantial physiological component. Studies that pool the two are measuring a composite whose parts may behave quite differently.

OBSERVATIONAL EVIDENCE

Mortality and physical health

The most striking findings come from long running cohort studies. Analysis of a national ageing cohort followed for fourteen years found that frequency of receptive arts engagement was associated with lower all cause mortality in a graded fashion, with the association persisting after adjustment for demographic, socioeconomic, health related, behavioural and social factors.² The dose response pattern is important, since a graded relationship is harder to explain by simple confounding than a threshold one.

The obvious objection is reverse causation. People who are already ill attend fewer concerts, and illness precedes death. The authors addressed this by adjusting for baseline health and by excluding early deaths, and the association survived, though no observational design can eliminate the possibility entirely. Unmeasured confounding by factors such as cognitive reserve, social network quality or personality remains plausible.

Mental health and loneliness

Cohort evidence also links cultural engagement to mental health outcomes. Studies of older adults report associations between receptive arts engagement and lower loneliness both cross sectionally and over time, with the longitudinal associations weaker than the cross sectional ones, as would be expected if part of the cross sectional relationship reflects selection.³ Comparable analyses report reduced incidence of depression among those who engage regularly.

The pattern across these studies is consistent: moderate effect sizes, dose response gradients, and attenuation but not elimination after adjustment. That pattern is what one would expect from a real but modest causal effect operating alongside substantial selection.

TRIAL EVIDENCE

Randomised evidence is scarcer and is concentrated in particular art forms. The best developed area is music and singing. A systematic review of wellbeing outcomes for music and singing in adults found evidence of benefit for wellbeing measures, with the strongest results for group singing in relation to social wellbeing and belonging, alongside considerable heterogeneity in populations, interventions and outcome measures.⁴ Reviews of creative activity in children and young people report similar patterns, with improvements in self esteem and self confidence and weaker evidence on clinical outcomes.⁵

Three methodological problems recur across this literature and should temper any confident summary. Blinding is impossible. A participant knows whether they are singing in a choir, and the expectation of benefit is part of what is being tested. Waiting list controls make this worse, since the control condition is not neutral. Outcome measures are inconsistent. Studies use a wide variety of wellbeing instruments, often selected after the fact, and selective reporting of the measures that moved is difficult to rule out where protocols were not registered in advance. Attrition is differential. Participants who do not enjoy an activity leave it, so the group measured at follow up is not the group randomised, and per protocol analysis of arts interventions is close to guaranteed to show benefit. None

of this means the effects are illusory. It means that the effect sizes reported in the literature are likely to be upper bounds, and that a programme designed on the basis of published effect sizes should expect to observe less.

MECHANISMS

Understanding why arts activity might affect health matters practically, because it determines which features of a programme are essential and which are incidental. One influential framework identifies the components of leisure activity and traces their effects through psychological, biological, social and behavioural pathways, cataloguing several hundred candidate mechanisms operating at individual, community and societal levels.⁶ The value of the exercise is not the count but the structure. It makes clear that most arts activities operate through several routes at once, and that two programmes that look similar may share very few active components.

A complementary framework focuses specifically on arts in health activities and decomposes them into active ingredients relating to the project, the people involved, the contexts and the mechanisms of change.⁷ The practical use of this approach is in replication. A choir that improves wellbeing in one setting may fail in another, and the framework provides a vocabulary for identifying which of the differences might account for it, rather than attributing failure to implementation in general. Table 1 sets out the principal pathways with an assessment of the evidence supporting each.

Table 1. Proposed pathways from arts participation to health outcomes, with the strength of supporting evidence

Pathway	Proposed process	Evidence status
Social connection	Regular group attendance builds and maintains relationships	Consistent across observational and trial evidence
Physical activity	Dance, drama and singing involve movement and controlled breathing	Strong for dance, moderate for singing
Psychological resource	Mastery, self efficacy and a sense of purpose from skill development	Moderate, mostly self reported measures
Emotion regulation	Aesthetic experience provides distraction, expression and reappraisal	Moderate, mainly short-term laboratory and diary studies
Stress physiology	Reduced cortisol and altered autonomic response during participation	Suggestive, small samples and inconsistent protocols
Cognitive reserve	Novel and complex activity sustains cognitive function with age	Plausible, difficult to separate from education and selection
Health behaviour	Participation displaces harmful behaviour and improves routine	Weak, few studies measure it directly

The distribution of evidence across this table is itself informative. The pathways with the strongest support, social connection and physical activity, are not specific to the arts. A walking group would engage both. This does not undermine the case for arts programmes, since the arts may recruit and retain people that a walking group would not, but it does bear on how the case should be made and on what the comparison condition in an evaluation ought to be.

SOCIAL PRESCRIBING

Social prescribing links patients from primary care to community activity, frequently including arts provision, usually through a link worker who makes the connection and provides initial support. Adoption has been rapid and evaluation has lagged. A systematic review of the evidence found that most published evaluations were small, lacked comparison groups, reported outcomes selectively and had short follow up, and concluded that the enthusiasm for social prescribing was not matched by evidence of effectiveness.⁸ A parallel systematised review of schemes reached similar conclusions about study quality while documenting the range and diversity of what is offered.⁹ More recent commentary on arts in public health policy notes both the growth of formal policy commitment and the persistence of the evaluation gap.¹⁰

Three specific difficulties account for much of this. Definition is the first. Social prescribing names a referral mechanism rather than an intervention, and the activities referred to differ so widely that pooling them produces an average without a referent. Counterfactual is the second. Many people referred would have found the activity themselves in time, so the effect of the scheme is smaller than the effect of the activity. Attribution is the third. Where a link worker provides practical help with housing, benefits or debt alongside the referral, improvement may be due to that help rather than to the activity, and few evaluations separate the two.

The reasonable position is that social prescribing is a plausible and inexpensive mechanism whose effectiveness is not yet established, and that continued expansion should be accompanied by evaluation designs capable of detecting failure. The current pattern, in which schemes are expanded on the basis of evaluations incapable of showing anything else, serves neither the evidence nor the participants.

ARTS ON PRESCRIPTION AND CARE SETTINGS

The general scepticism about social prescribing evaluation should not be extended without qualification to every programme within it, since some strands are better evidenced than others. Arts on prescription, in which patients are referred to a structured programme of creative activity over a defined number of weeks, has now been reviewed with meta-analysis. Drawing on seven studies that used a common wellbeing instrument, the analysis found a mean improvement of 5.82 points on that scale, with a confidence interval from 4.90 to 6.75, against a threshold of three points conventionally treated as the smallest change of practical importance.¹¹ Participants began with low baseline scores, which is consistent with referral operating as intended and also means that regression to the mean contributes to the observed improvement. Heterogeneity across studies was moderate, and longer programmes were associated with larger gains, which is the first reasonably direct evidence on dose in this literature.

The result is encouraging and it should be read with the design in mind. None of the pooled studies had a randomised control condition, so the estimate describes change over the course of a programme rather than change attributable to it. A single arm pre and post design in a self selected group with low baseline scores will overstate effect under almost any circumstances. What the analysis establishes is that participants improve substantially and consistently across settings, which is worth knowing, and not that the programme caused the improvement.

Care settings present a different picture again. Community based arts interventions for people living with dementia have been reviewed for cognitive outcomes, and the review found improvements in some cognitive and behavioural measures alongside considerable methodological weakness, with small samples, varied outcome instruments and short follow up.¹² The more consistent findings in this population concern engagement, mood and the quality of interaction with carers rather than cognition itself, and these may be the outcomes that matter most to participants and families even where they attract less research attention. Museums and galleries have developed programmes for this population, and the sector has argued that cultural institutions should be understood as partners in public health rather than as venues occasionally hired by it.¹³

EQUITY, DOSE AND DISPLACEMENT

Three practical issues deserve more attention than they receive. Access to the arts is patterned by income, education and geography, and the populations with the worst health are systematically those with the least cultural provision. A programme that operates by referral from primary care will reach some of these people, but a scheme that depends on existing local provision will deliver most where provision already exists. Arts and health policy that does not fund provision in underserved areas will widen the gap it intends to close.

Dose is largely unexamined. The literature reports frequency categories rather than tested doses, and almost no study compares intensities to establish how much participation is needed for how long. Cohort findings on frequency suggest that even infrequent engagement is associated with benefit, which is encouraging but is exactly the pattern that selection would also produce.^{2,13} Displacement is a risk to the arts sector itself. Where public funding is justified instrumentally, by health outcomes, the arts become answerable to a standard of evidence that few cultural activities were designed to meet, and provision that fails to demonstrate health benefit becomes vulnerable. The instrumental case and the

intrinsic case for public support are not in competition intellectually, but they compete for the same budget lines, and a sector that has argued only instrumentally has weakened its position for the day the effect sizes are re-examined.

CONCLUSION

The evidence that arts participation is associated with better health is substantial and consistent, and the associations are graded, plausible and robust to adjustment for the obvious confounders.^{1,2} The evidence that arts participation causes better health is weaker, is concentrated in music and singing, and rests on trials with structural limitations that inflate apparent effects.⁴ Both statements are true and neither should be reported without the other.

For practice, three conclusions follow. Programmes should be designed around the mechanisms with the best support, which means sustained group participation rather than one off events.^{6,7} Evaluation should be built in from the start and should be capable of returning a negative result, which social prescribing evaluation to date generally has not been.⁸ And provision should be funded where it is thinnest rather than where it is easiest to commission, since otherwise a programme intended to reduce inequality will track it instead.

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Imaging the Painted Surface: Analytical Science in Art Conservation

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Abstract

The examination of paintings has been transformed by a family of imaging and analytical methods that recover information from beneath the visible surface without removing material. This paper reviews those methods, the questions they answer, and the interpretive problems they create. It begins with the conservation ethics that made non-invasive examination a priority, then follows the stratigraphy of a painted object from varnish to support, identifying which technique interrogates which layer and on what physical principle. Reflectance imaging spectroscopy, infrared reflectography, optical coherence tomography, X-radiography, scanning macro X-ray fluorescence and X-ray powder diffraction tomography are each assessed for spatial resolution, depth reach and the class of information returned. Two well documented cases illustrate what the methods have delivered: the recovery of a hidden composition beneath a later painting, and the identification of a degradation product that explains the fading of a pigment. The paper then examines computational analysis of brushwork and its use in attribution, where the statistical evidence has proved more useful as a constraint on connoisseurship than as a replacement for it. The concluding argument is that these methods have shifted the epistemic basis of the discipline from expert judgement towards material evidence, without eliminating the interpretive step, and that the principal risk now is confident over reading of maps whose relation to the painter's intention remains indirect.

Keywords: Technical Art History, Conservation Science, Macro X-Ray Fluorescence, Reflectance Imaging Spectroscopy, Non-Invasive Analysis, Attribution.

INTRODUCTION

A painting is a stratified object. What the viewer sees is the uppermost of several layers, each deposited at a different moment and each carrying evidence of decisions that the finished surface conceals. Beneath the varnish lie paint layers and glazes, beneath those an underdrawing, beneath that a ground applied to prepare the support, and beneath everything a canvas or panel with its own history of construction, repair and reuse. Every stage of that sequence is potentially informative, and until recently almost none of it could be examined without taking the object apart.

The change has come from instrumentation. Over roughly three decades, a set of techniques originally developed for medicine, materials science and remote sensing has been adapted to the examination of works of art, and the resulting field, generally known as technical art history when it addresses historical questions and conservation science when it addresses treatment, now produces evidence that neither connoisseurship nor archival research can supply. This paper reviews the principal

methods, examines what they have established, and considers the interpretive difficulties that follow from evidence of this kind.

WHY NON-INVASIVE METHODS

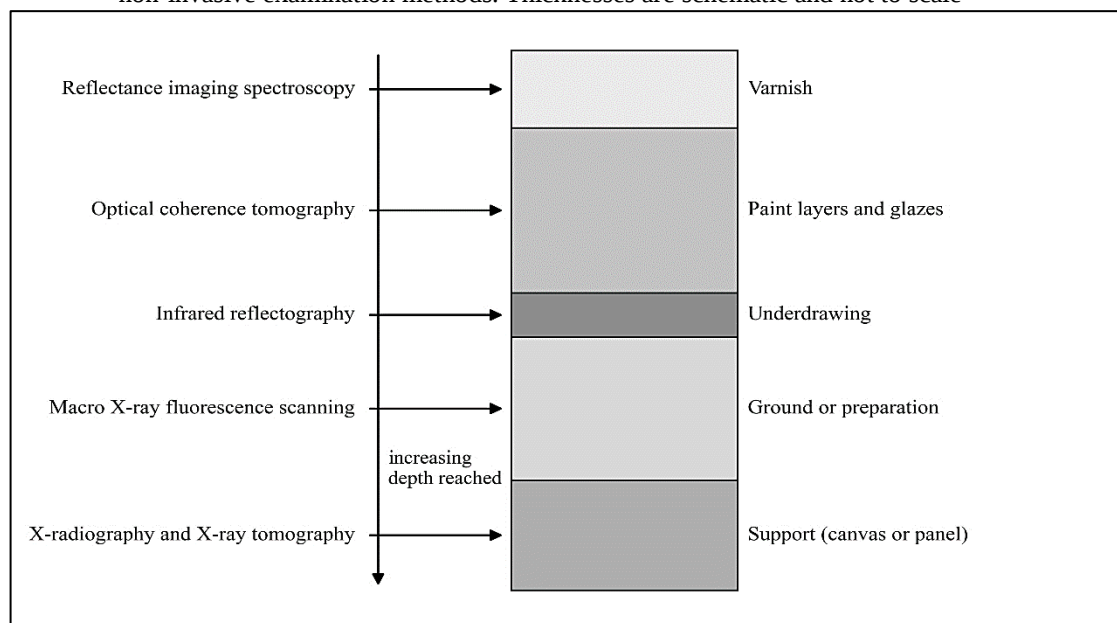
The preference for non-invasive examination is not merely practical. It follows from a shift in conservation theory that took place across the second half of the twentieth century. The classical position held that restoration should reveal the work of art without committing a historical or aesthetic forgery, and that it should be recognisable as intervention and reversible in principle.¹ Later theory moved further, treating conservation as a negotiation among the several communities that hold a stake in an object rather than as the recovery of an authentic original state, on the argument that no single true condition of the object exists to be recovered.² Both positions converge on the same operational rule. Whatever is done to an object should minimise irreversible change, and taking a sample is irreversible by definition.

Sampling has not disappeared. Cross sections examined under the microscope remain the reference method for establishing layer sequence, and a sample of a few tenths of a millimetre from an area of existing loss remains defensible. What has changed is the burden of justification. A sample must now be shown to answer a question that non-invasive examination cannot, and the range of questions that qualify has narrowed steadily as instrumentation has improved.

THE STRATIGRAPHY AND THE METHODS THAT REACH IT

Figure 1 sets the principal methods against the layer each interrogates. The organising physical principle is penetration: longer wavelengths and more energetic particles reach deeper, at a cost in spatial resolution and in specificity about what has been detected.

Figure 1. The layer structure of a typical easel painting and the depth routinely reached by each family of non-invasive examination methods. Thicknesses are schematic and not to scale



The surface

Reflectance imaging spectroscopy records a full reflectance spectrum at every pixel across the visible and near infrared range. Because many pigments have characteristic absorption features, the resulting data cube supports pigment identification and mapping over the whole surface rather than at selected points, and it distinguishes materials that appear identical to the eye and to conventional colour photography.³ Applied to Old Master paintings and to illuminated manuscripts, the method has proved capable of separating pigments within a single passage of colour and of mapping the distribution of a binder or a degradation product across a composition.⁴ The limitation is depth. Reflectance methods

interrogate the uppermost layers only, and a strongly absorbing upper paint layer conceals whatever lies beneath it.

The near surface

Infrared reflectography exploits the transparency of many pigments in the near infrared and the strong absorption of carbon black. Where the underdrawing was executed in a carbon containing medium, it appears through the paint, and the difference between drawing and finished painting records the artist's changes of mind. The technique is old, is inexpensive, and remains among the most productive available.⁵

Optical coherence tomography, adapted from ophthalmic imaging, produces cross sectional images of translucent layers by low coherence interferometry. It resolves varnish thickness, the sequence of glazes and the presence of earlier coatings at micrometre scale, without contact and without sampling, and it has become the standard method for monitoring varnish removal in progress rather than assessing it afterwards.⁶

Through the object

X-radiography records differences in the attenuation of X-rays, which depends strongly on atomic number, so that lead containing pigments in particular appear with high contrast. It reveals the construction of the support, losses and repairs, and compositions painted over.

Scanning macro X-ray fluorescence is the most consequential recent addition. A focused X-ray beam is rastered across the surface and the fluorescence spectrum recorded at each point, producing a separate distribution map for each chemical element present. Because the fluorescence originates from the whole irradiated thickness, elements in buried layers appear, and the maps can be combined to reconstruct concealed compositions.⁷ The decisive practical development was the construction of transportable instruments, which allowed the method to be applied in galleries and studios rather than requiring the object to travel to a synchrotron.⁸

X-ray powder diffraction imaging goes further, identifying crystalline compounds rather than elements. Since it is the compound and not the element that determines colour and degradation behaviour, this distinction matters, and diffraction based mapping resolves questions that elemental mapping leaves open.⁹ Related X-ray methods, including laminography and computed tomography, extend the same principles to three dimensional reconstruction of layer structure.¹⁰

Table 1. Principal non-invasive imaging and analytical methods for paintings, with the information each returns and its main limitation

Method	Physical basis	Information returned	Principal limitation
Reflectance imaging spectroscopy ^{3,4}	Reflectance spectrum recorded at every pixel	Pigment identification and mapping at the surface	Little depth penetration
Infrared reflectography ⁵	Pigment transparency and carbon absorption in the near infrared	Underdrawing, changes of composition	Fails where the drawing medium lacks carbon
Optical coherence tomography ⁶	Low coherence interferometry in translucent layers	Varnish and glaze thickness, layer sequence	Restricted to translucent upper layers
X-radiography ¹⁰	Attenuation of X-rays with atomic number	Support construction, losses, overpainted compositions	Superimposes all layers in one image
Scanning macro X-ray fluorescence ^{7,8}	Element specific X-ray fluorescence, point by point	Elemental distribution maps including buried layers	Identifies elements, not compounds
X-ray powder diffraction imaging ⁹	Diffraction from crystalline phases	Compound specific maps, including degradation products	Slow acquisition, limited access to instruments
Portable multitechnique campaigns ¹¹	Several complementary methods applied in situ	Combined molecular and elemental evidence on site	Coordination cost, restricted analytical depth

TWO DEMONSTRATIONS

The value of these methods is best shown by cases in which they settled a question that could not otherwise be settled. The first is the recovery of concealed compositions. Synchrotron based X-ray fluorescence mapping of a Van Gogh painting recovered the image of a woman's head hidden beneath a later composition, reconstructing it in colour from the distribution of antimony and mercury associated with specific pigments.¹² Earlier X-radiographs had shown that something lay beneath, but they could not resolve what. The elemental maps could, because they separate the buried layer by its chemistry rather than by its density alone. Subsequent work using the same principle over whole canvases established the reworking of compositions as a routine object of study rather than an occasional discovery.¹³

The second is degradation chemistry. The fading and discolouration of red lead in a Van Gogh painting was traced by X-ray powder diffraction tomography to the formation of plumbonacrite, a lead carbonate phase that had not previously been identified in this context and that supplied the missing step in the reaction pathway.⁹ This is a result with immediate conservation consequences, since knowing the mechanism indicates the environmental conditions that accelerate it, and it could not have been obtained by elemental mapping, which sees only lead.

Both cases illustrate a general point. The methods do not merely confirm what expert observation already suspected. They answer questions that observation had no means of addressing, and in doing so they change what counts as a settled matter in the discipline.

THE SUPPORT AS DOCUMENT

The layers above the support have received most analytical attention, but the support itself carries evidence of a different kind, concerning when and where an object was made rather than how it was painted. Panel paintings on oak can be dated by dendrochronology. The ring sequence of a panel is matched against regional reference chronologies to establish the felling date range of the tree, from which a plausible earliest date for the painting follows once allowance is made for seasoning and for the removal of sapwood. European practice rests on extensive reference chronologies, and the method also indicates timber provenance, since the near exclusive use of Baltic oak in Netherlandish panels during certain periods is itself a datable characteristic.¹⁴ The evidence is a terminus after which the painting must have been made, not a date of execution, and the distinction is often blurred in secondary accounts.

Canvas supports carry a comparable signature. The density and spacing of warp and weft threads vary along a roll of canvas in a way that is effectively individual, and these variations are visible in X-radiographs because the threads attenuate differently from the ground between them. Automated analysis of radiographs can measure thread density across a whole painting and produce weave maps, and cross correlation of these maps identifies pairs of paintings cut from the same bolt.¹⁵ Where such a match is found, it constrains chronology and workshop association independently of style, which is exactly the kind of evidence connoisseurship has historically lacked. The method has limits, since it requires plain weave canvas, good radiographs and a sufficient corpus for comparison, and a shared bolt establishes proximity in the studio rather than identity of hand.

What these support based methods share with the layer based methods described earlier is the recovery of evidence that is physical, reproducible and independent of aesthetic judgement. What they also share is that the inference from that evidence to a historical conclusion remains a matter of argument.

COMPUTATION AND ATTRIBUTION

A parallel development applies statistical analysis to high resolution images rather than to spectra. Brushwork carries an individual signature in stroke length, curvature, pressure and directional consistency, and these properties can be quantified. Early collaborative work between image processing researchers and museum specialists demonstrated that computational measures could distinguish authentic works from close contemporary copies within a controlled corpus.¹⁶ Later work extended the approach with learned rather than hand designed features, improving performance on style and artist recognition tasks.¹⁷

The limits of this work should be stated plainly, and the researchers themselves have generally stated them. Performance depends on the corpus, and a classifier trained on securely attributed works of one period will not transfer to another. Digitisation conditions introduce artefacts that the classifier may learn instead of the brushwork. Most fundamentally, an attribution question is rarely a two class problem with a clean training set, since the contested cases are contested precisely because the boundary between workshop, follower and hand of the master is not sharp. The realistic role of computational analysis is as one constraint among several, capable of showing that a proposed attribution is inconsistent with measurable properties of the surface, and much less capable of establishing one.

INTERPRETIVE PROBLEMS

Three difficulties recur across the methods described here. The first is the gap between a map and a meaning. An elemental distribution map is a physical measurement. Reading it as evidence of an artist's decision requires assumptions about pigment availability, workshop practice and the sequence of application, and those assumptions are historical rather than analytical. A change visible in a fluorescence map may record an alteration by the painter, a later restorer, or a workshop assistant, and the map alone does not distinguish them.

The second is the seduction of the image. Reconstructions of hidden compositions are visually compelling and circulate widely, which creates pressure to present a reconstruction as a recovered painting rather than as an inference from a set of element maps with stated uncertainty. Careful practice presents the maps alongside the reconstruction. Publicity rarely does.

The third is access. Synchrotron beam time is scarce, instruments are expensive, and the collections that receive detailed technical examination are those with research funding and institutional connections. The consequence is a documented corpus skewed towards a small number of celebrated European painters, and a technical literature that describes the practice of those painters in great detail while leaving most of the world's painted heritage unexamined. Portable multitechnique campaigns partially address this, since they bring the instruments to the object and can be deployed in institutions that could never host a fixed facility.¹¹ They do not remove the underlying inequality in analytical attention.

CONCLUSION

Non-invasive imaging and analysis have altered the evidential basis of the study of paintings. Questions about layer sequence, pigment identity, concealed composition and degradation mechanism that once depended on expert inference or on destructive sampling can now be addressed by measurement, and the results have repeatedly proved both more specific and less flattering to received opinion than expected.^{9,12}

Two qualifications follow the achievement. The measurements are physical and the conclusions drawn from them are historical, so the interpretive step remains and should be made visible rather than absorbed into the authority of the instrument. And the distribution of analytical attention is uneven in a way that shapes what the discipline knows, concentrating detailed technical knowledge on a small and already well studied canon. Extending portable instrumentation to collections outside that canon is the most productive available use of the methods, and it is a question of funding and priority rather than of physics.

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Oral History, Memory and the Archive in Postcolonial Societies

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Abstract

Oral history began as a method for recovering testimony from people whose lives had left no written trace, and it has since become a body of theory about how memory works and what it can be made to tell us. This paper traces that development and sets it against a parallel change in archival thinking. The early practice treated the interview as a substitute document, to be checked against the record for accuracy. The interpretive turn treated the interview instead as an account shaped by later experience, by narrative convention and by the relationship between interviewer and narrator, and argued that these features are evidence in their own right rather than contamination to be corrected. Memory studies supplied the conceptual apparatus for that argument, distinguishing individual recollection from the collective and cultural forms through which groups sustain a usable past. The paper then turns to the archive, examining the argument that archives are instruments of power that produce silences as systematically as they preserve records, and considering the community archive movement as a response. Postcolonial and Partition scholarship supplies the sharpest cases, since the events in question were experienced by millions and documented by almost none of them. The paper closes with the practical ethics of the interview and with the problems that digital infrastructure creates for context and consent.

Keywords: Oral History, Collective Memory, Archives, Postcolonial Historiography, Testimony, Research Ethics.

INTRODUCTION

The written record is not a neutral sample of the past. It records what institutions had reason to write down, and it records it in the categories those institutions used. For most of recorded history, the people who appear in documents do so because they paid tax, broke a law, owned property or held office, and the great majority of any population appears not at all except as a number in an aggregate.

Oral history began as a response to that absence. Its early practitioners set out to interview people whose lives had generated no documents, on the reasonable view that a recorded account of a working life was better evidence of that life than silence.¹ The method proved productive immediately, and it also proved more complicated than its founders expected, since what an interview produces is not a document but a memory, and memory does not behave like a document.

This paper follows the working through of that complication. It traces the shift from a documentary to an interpretive understanding of testimony, connects that shift to the theoretical literature on collective memory, and then examines the parallel change in archival thinking that has made the archive itself an object of critical study rather than a neutral repository. The postcolonial

context receives particular attention, because it is where the gap between what happened and what was written down is widest.

FROM DOCUMENT TO INTERPRETATION

The first generation of oral historians treated the interview as testimony to be corroborated. The interviewer sought accurate recall, checked it against surviving records, and treated discrepancies as error to be discounted. The interpretive turn began with the observation that the errors were patterned. Working through interviews with Turin factory workers about the fascist period, one influential study found that respondents systematically omitted a whole decade, not from failure of memory but because the period did not fit the narrative of political continuity through which they understood their own lives.² The omission was evidence. It told the historian something about the relationship between political identity and personal memory that no accurate chronology would have revealed.

The argument was developed most fully in work on a factory worker killed by police in an Italian town, where the collective memory of the community consistently misdated the death and misattributed the circumstances. The chronology was demonstrably wrong. The error, however, was uniform across the community and it made the death intelligible within a longer story of labour conflict, so that the wrongness was itself the finding.³ The general principle follows: oral sources tell us less about events than about their meaning, and meaning is what the documentary record is least able to supply.

The methodological consequences have been elaborated since into a full theoretical apparatus, covering the composition of narrative, the intersubjectivity of the interview, the performance of self, and the relationship between individual accounts and the public scripts available for telling them.^{4,5} The practical result is that oral history no longer needs to defend itself as second best evidence for events. It has a distinct evidentiary object.

MEMORY, COLLECTIVE AND CULTURAL

The theoretical grounding for this position comes from the study of memory as a social rather than a purely psychological phenomenon. The foundational claim is that individual recollection depends on social frameworks, and that a memory detached from the group that sustains it becomes unavailable rather than merely private.⁶ This explains a recurrent observation in fieldwork, that memories of a dispersed community are recovered with difficulty and in fragments while those of a community that has remained together are elaborate and shared.

Later work distinguished memory from history in stronger terms, arguing that living memory sustained by a community has been displaced in modern societies by history as an institutional practice, leaving sites of memory such as monuments, archives and commemorations to stand in for a continuity that has been lost.⁷ The framework has been criticised for a nostalgia about pre-modern continuity that the evidence does not support, but its central distinction between memory as lived and memory as curated remains useful.

A further distinction separates communicative memory, which is carried in everyday interaction and reaches back roughly three generations before it fades, from cultural memory, which is fixed in text, ritual and institution and can persist indefinitely.⁸ This distinction is directly relevant to fieldwork planning, since the window for collecting communicative memory of any event closes at a predictable rate, and the transition from one form to the other is exactly what the oral historian observes when interviewing the second and third generations after a catastrophe.

Work on the transmission of traumatic memory across generations has developed this further, describing the relationship that descendants have to events they did not experience but that dominated the households in which they grew up.⁹ The concept has been applied well beyond its original context, and the caution generally raised against it, that it risks assimilating very different histories to a single model, is worth keeping in view.

THE ARCHIVE AND ITS SILENCES

Archival theory has undergone a comparable reorientation. The classical position held the archivist to be a neutral custodian, preserving records created in the ordinary course of business and transmitting them unaltered. That position has been dismantled from several directions. Historiographically, the argument runs that silences enter the historical record at four distinct moments,

in the making of sources, the making of archives, the making of narratives and the making of history as retrospective significance, so that absence is produced continuously rather than at a single point of loss.¹⁰ Philosophically, the archive has been analysed as an institution constituted by the authority to consign and to classify, so that the power to decide what is archivable precedes and determines what can later be recovered.¹¹

Historical work on colonial archives has demonstrated these claims in detail, reading administrative records for their anxieties and their taxonomies rather than for the facts they report, and treating the archive as a site of colonial knowledge production rather than a repository of colonial facts.¹² The related question of whether the colonised subject can be recovered from records written by the coloniser has been posed sharply in postcolonial theory, with the argument that the recovery of a subaltern voice through such records reproduces the representational structure it seeks to escape.¹³

Table 1 sets out the principal forms of silence with the response that oral history and community archiving offer to each.

Table 1. Forms of archival silence and the practices developed in response

Form of silence	How it is produced	Response in practice	Residual limitation
Non-creation	The event generated no record because no institution had reason to make one	Interviewing surviving participants and descendants	Closes with the life of the last witness
Non-retention	Records were made but not selected for permanent preservation	Community collecting and post-custodial deposit	Depends on material having survived at all
Categorical distortion	Records exist but classify people in the administration's terms	Reading against the grain; oral accounts of the same events	Cannot recover what the categories excluded
Access restriction	Records survive but are closed, dispersed or held abroad	Repatriation claims, digitisation, mediated access	Control remains with the holding institution
Language and literacy	Records exist only in the language of administration	Interviewing in vernacular languages, community translation	Translation introduces its own interpretation
Deliberate destruction	Records were destroyed at moments of political transition	Testimony, and the documentation of the destruction itself	The destroyed content is not recoverable

THE ARCHIVAL TURN AND COMMUNITY ARCHIVES

Archival practice has responded to these critiques. A widely cited account describes four successive paradigms, moving from evidence through memory and identity to community, with the archivist transformed correspondingly from passive custodian through active appraiser and societal mediator to community facilitator.¹⁴ The reframing carries practical consequences: appraisal decisions become explicit acts of historical judgement requiring justification, and the archive becomes accountable to communities rather than only to its parent institution.

A parallel argument holds that archives are activated in use and that meaning accrues to records through the successive contexts in which they are read, so that the record is never simply the deposit of the moment of its creation.¹⁵ Critical archival studies has since consolidated these positions into a distinct field, defining its purpose as identifying and challenging the power relations that structure archives and the professions that manage them.¹⁶

Community archives are the practical outcome. In these, a community identifies, collects and describes its own material, often retaining custody rather than depositing with a national institution, and describes it in its own terms rather than in professional standards designed for administrative records. The results are uneven in preservation quality and in sustainability, and the movement raises unresolved questions about long term care and about who speaks for a community. It has nevertheless produced holdings that no institutional collecting policy would have generated.

PARTITION AND THE LIMITS OF THE RECORD

The Partition of India in 1947 illustrates the whole argument in a single case. It displaced somewhere between ten and twenty million people and killed several hundred thousand, and the official record consists overwhelmingly of administrative correspondence, boundary commission papers and refugee statistics. Almost nothing in the archive records what the experience was.

Oral history reconstructed a substantial part of it, and in doing so recovered histories that had been unsayable rather than merely unrecorded, particularly concerning the abduction of women, the killing of women by their own families, and the state programme that later recovered and transferred abducted women against their expressed wishes.¹⁷ Two features of this work bear on method generally. The testimony was gathered decades after the events, so it records how the experience had been lived with as much as what happened. And a great deal of it concerned matters that families had agreed not to discuss, which raises the question of what an interviewer owes to a narrator who discloses something the family has spent fifty years containing.

ETHICS AND THE INTERVIEW RELATIONSHIP

Ethical practice in oral history rests on a fuller notion of consent than most research governance recognises. A narrator agrees to an interview without knowing what they will say, since recollection in the interview frequently produces material the narrator did not expect to disclose. Standard practice therefore separates consent to be interviewed from consent to deposit and to use, and returns the transcript for review before deposit, so that the second decision is made with knowledge of the content.¹⁸

Ownership is a related question. Copyright in a recording is not the same as authority over the account it contains, and the conventions that govern this differ by jurisdiction and by institution. The practical resolution generally adopted is joint agreement covering deposit, access and re-use, with the narrator retaining the right to close all or part of the record for a period.

The interview relationship itself has received sustained attention from practitioners writing about what actually happens in the field rather than about protocol, including the difficulties raised by interviews that go wrong, by narrators who want something from the researcher, and by the emotional consequences for the interviewer of extended work on traumatic material.¹⁹ This literature is more useful to a practitioner than most methods textbooks, precisely because it is written about failure.

TESTIMONY, LAW AND THE PUBLIC RECORD

Testimony has a second institutional life outside the academy. Truth commissions, of which several dozen have now reported, collect statements from victims and sometimes from perpetrators, and produce an authorised account intended to establish a shared record where the state had denied that anything happened.²⁰ These bodies are not oral history projects, but they raise the same questions in sharper form, because their proceedings carry legal and political consequences that an academic interview does not.

Three differences from academic practice are instructive.

The purpose of the account differs. A commission seeks a finding, and a finding requires that a version be settled. Oral history is comfortable with contradictory accounts and treats the contradiction as informative. A commission cannot be, since a report that declines to adjudicate serves neither victims nor the public record.

The format shapes what can be said. Statement taking under a commission is structured by categories drawn from human rights law, which recognise particular acts against particular individuals within a defined period. Anthropological work on the South African commission documented the consequence: women giving statements very largely spoke about what had happened to husbands, sons and brothers rather than about their own experience, in part because the categories on offer defined harm in terms of gross violations to the body and did not accommodate the sustained conditions of daily life under which many women had suffered.²¹ The silence that resulted was produced by the instrument, not by the witnesses.

The relationship to the witness differs. A commission offers public acknowledgement and sometimes reparation, and it takes testimony under conditions that may be re-traumatising, with limited capacity for follow up. Oral historians who have worked with survivors have generally concluded that

the duty of care extends past the recording and that projects should budget for it, a conclusion that commissions have reached more slowly.

The relevance of this to the wider argument is direct. These proceedings show, at institutional scale, that the form in which testimony is solicited determines what enters the record, and that a silence in the archive may be an artefact of the collecting instrument rather than of the reticence of those who might have spoken. That is the same claim made about colonial archives in section 4, arrived at from a very different direction and with contemporary documentation of the mechanism at work.^{10,12}

DIGITAL INFRASTRUCTURE

Digital tools have altered the field in ways that are still being assessed. Recording is now trivially cheap, so the constraint has moved from collection to processing, cataloguing and preservation. Automatic transcription has reduced the cost of making recordings searchable, at the price of errors that fall unevenly across accents, dialects and languages, which means that the most under-documented voices are the ones the technology transcribes worst.

Online publication raises harder questions. Consent given for deposit in a regional archive consulted by a handful of researchers a year is not obviously consent for global publication with full text search, and the ability to locate a single sentence within a life history detaches statements from the context that made them intelligible. Archives have responded with graduated access, embargo periods and contextual framing, none of which fully resolves the difficulty. The general point is that the digital archive changes the meaning of access, and that consent frameworks written for the analogue archive do not transfer without amendment.

CONCLUSION

Oral history matured by abandoning the claim that made it initially respectable. It is not principally a way of filling gaps in the documentary record with equivalent facts. It is a way of studying how people make sense of what happened to them, and its distinctive evidence is exactly the material that a documentary standard would discard as error.^{2,3}

That repositioning has been matched in archival thinking, where the archive has come to be understood as an active and interested institution whose silences are produced rather than accidental.^{10,14} The two developments meet in the community archive and in the recording projects that serve populations whose histories the institutional record was never designed to hold. The remaining difficulties are practical and largely unglamorous. Communicative memory of any event has a fixed and short window before it becomes cultural memory or disappears.⁸ Ethical frameworks written for analogue deposit need revision for a digital environment. And the collections that this work generates require sustained curation of a kind that project funding rarely provides. None of these is a theoretical problem, and each will determine how much of what is currently recoverable is still there in fifty years.

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